



SFW

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/814,058	03/30/2004	Kak-Shan Shia	15551-003001

26161
FISH & RICHARDSON PC
225 FRANKLIN ST
BOSTON, MA 02110

CONFIRMATION NO. 5712
FORMALITIES LETTER



OC000000013001360

Date Mailed: 06/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

File

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kak-Shan Shia et al. Art Unit : Unknown
 Serial No. : 10/814,058 Examiner : Unknown
 Filed : March 30, 2004
 Title : POLYAMINE COMPOUNDS FOR TREATING CHEMOKINE RECEPTOR
 MEDIADED DISEASES

MAIL STOP MISSING PARTS

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR § 1.53(b) mailed June 21, 2004 (copy enclosed), applicants believe the Office issued the notice in error. Applicants filed the above-identified application complete, i.e., with an executed declaration, as evidenced by the enclosed transmittal letter and return postcard stamped by the Office. Applicants also enclosed a copy of the executed declaration.

Applicant believes no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 9-20-04

Y. Rocky Tsao
 Y. Rocky Tsao
 Reg. No. 34,053

Fish & Richardson P.C.
 225 Franklin Street
 Boston, MA 02110-2804
 Telephone: (617) 542-5070
 Facsimile: (617) 542-8906
 2089911.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 21, 2004
 Date of Deposit

Diane M. Sartore
 Signature

Diane M. Sartore
 Typed or Printed Name of Person Signing Certificate

OFFICIAL FILING RECEIPT CHECKLIST

Attorney's Docket No. 15551-003001	Express Mail Label No. EV304819607US	Mailing Date March 30, 2004	For PTO Use Only Do Not Mark in This Area
Application No.	Filing Date March 30, 2004	Attorney/Secretary Init YRT/dzs	
Title of the Invention POLYAMINE COMPOUNDS FOR TREATING CHEMOKINE RECEPTOR MEDIATED DISEASES			
Applicant Kak-Shan Shia et al.			
Enclosures - Transmittal Letter (2 pages) - Patent Application (47 pages of specification, 15 pages of claims, 57 total claims, 1 page of abstract) - Check in the amount of \$804.00 - Combined Declaration and Power of Attorney (3 pages) - Information Disclosure Statement (1 page) - Form PTO-1449 (1 page) - Documents listed on the Form PTO-1449 (9 documents)			22387 U.S. PTO 10/814058 033004

TO BE COMPLETED WHEN OFFICIAL FILING RECEIPT ARRIVES

- Serial number on the postcard and the filing receipt match
- Filing dates on the postcard and filing receipt match
- Filing fee (paid by check) and the filing fee received on the filing receipt match. If the filing fee was charged to the deposit account, the correct amount of the fee charged match
- Attorney docket numbers on the postcard and filing receipt match
- Number of sheets of drawings in the application, number noted on the postcard and number noted on the filing receipt match
- Total number of claims in the application, noted in the transmittal letter and on the filing receipt match
- Number of Independent claims in the application and noted in the transmittal letter and on the postcard match
- Attorney named on the filing receipt and attorney named on the declaration/filing request match
- Inventor(s) named on the filing receipt and the Inventor(s) named in the declaration/filing request match
- Title noted on filing receipt and on the application match

Checked by: _____

RECEIVED

20484005.doc

APR 07 2004

**FISH & RICHARDSON, P.C.
BOSTON OFFICE**

FISH & RICHARDSON P.C.

Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951

March 30, 2004



Attorney Docket No.: 15551-003001

225 Franklin Street
Boston, Massachusetts
02110-2804

Telephone
617 542-5070

Facsimile
617 542-8906

Web Site
www.fr.com

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Presented for filing is a new patent application claiming priority from a provisional patent application of:

FR
BOSTON
DALLAS
DELAWARE
NEW YORK
SAN DIEGO
SILICON VALLEY
TWIN CITIES
WASHINGTON, DC

Applicant: KAK-SHAN SHIA, GHOLAM HOSSEIN HAKIMELAHI, JIA-LIANG ZHU, CHI-FENG YEN, YING-HUEY HUANG, YIBIN XIANG, HUA-CHIEN CHEN AND CHING-CHENG WANG

Title: POLYAMINE COMPOUNDS FOR TREATING CHEMOKINE RECEPTOR MEDIATED DISEASES

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	47
Claims	15
Abstract	1
Declaration	3

Enclosures:

- New disclosure information, including:
Information disclosure statement, 1 page.
PTO-1449, 1 page.
References, 9 items.
— Postcard.

Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/459,768, filed April 2, 2003, and U.S. provisional application 60/539,763 filed January 28, 2004.

Applicant claims small entity status. See 37 CFR 1.27.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV304819607US

March 30, 2004

Date of Deposit

FISH & RICHARDSON P.C.

Commissioner for Patents
March 30, 2004
Page 2

Basic filing fee	\$385
Total claims in excess of 20 times \$9	\$333
Independent claims in excess of 3 times \$43	\$86
Fee for multiple dependent claims	\$0
Total filing fee:	\$804

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

26161
PTO Customer Number

Respectfully submitted,

Y. Rocky Tsao

Y. Rocky Tsao
Reg. No. 34,053
Enclosures
YRT/dzs
20834558.doc